



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/824,322	04/02/2001	Brenda F. Baker	ISPH-0501

CONFIRMATION NO. 9406

26259  
LICATA & TYRRELL P.C.  
66 E. MAIN STREET  
MARLTON, NJ 08053

## FORMALITIES LETTER



\*OC000000006133700\*

Date Mailed: 05/31/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**
- A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 C.F.R. 1.822 and/or 1.823, as indicated on the attached copy of the marked -up "Raw Sequence Listing." Applicant must provide a substitute computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d).

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at [patin21help@uspto.gov](mailto:patin21help@uspto.gov) or [patin3help@uspto.gov](mailto:patin3help@uspto.gov)

*A copy of this notice MUST be returned with the reply.*

AUG 22 2001

TRANSMITTAL LETTER  
(General - Patent Pending)Docket No.  
ISPH-0501

In Re Application Of: Baker et al.

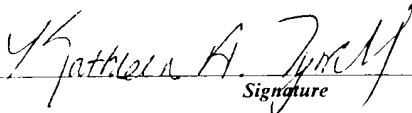
Serial No.  
09/824,322Filing Date  
April 2, 2001Examiner  
Not yet assignedGroup Art Unit  
1635Title: ANTISENSE OGIGONUCLEOTIDE MODULATION OF TUMOR NECROSIS FACTOR-ALPHA  
(TNF-ALPHA) EXPRESSIONTO THE ASSISTANT COMMISSIONER FOR PATENTS:

Transmitted herewith is: Response to Notice to File Missing Parts (in duplicate); Petition for Extension of Time

Under 1.136(a)(in duplicate); original executed Combined Declaration and Power of Attorney; copy of Notice to File Missing Parts; Amendment; Statement to Support Filing and Submission; substitute copy of Sequence Listing with diskette containing computer readable copy of Sequence Listing; authorization to charge deposit account \$175.00 for \$65.00 surcharge filing fee for Declaration and \$110.00 one-month extension fee; and return postcard.

in the above identified application.

- ☐ No additional fee is required.
- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 50-1619 as described below. A duplicate copy of this sheet is enclosed.
- ☒ Charge the amount of \$175.00
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

  
Signature

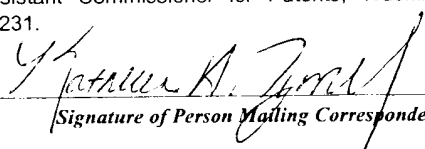
Dated: August 20, 2001

Kathleen A. Tyrrell  
Registration No. 38,350

26259

PATENT TRADEMARK OFFICE

I certify that this document and fee is being deposited on Aug. 20, 2001 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

  
Signature of Person Mailing Correspondence

Kathleen A. Tyrrell

Typed or Printed Name of Person Mailing Correspondence

CC:

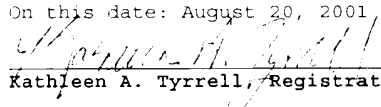


IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Attorney Docket No.: ISPH-0501  
Inventors: Baker et al.  
Serial No.: 09/824,322  
Filing Date: April 2, 2001  
Examiner: Not yet assigned  
Group Art Unit: 1635  
Title: Antisense Oligonucleotide Modulation of  
Tumor Necrosis Factor-alpha (TNF-alpha)  
Expression

I, Kathleen A. Tyrrell, Registration No. 38,350,  
certify that this correspondence is being deposited  
with the U.S. Postal Service as First Class Mail in  
an envelope addressed to the Assistant Commissioner  
for Patents, Washington, D.C. 20231.

On this date: August 20, 2001

  
Kathleen A. Tyrrell, Registration No. 38,350

BOX MISSING PARTS  
Assistant Commissioner for Patents  
Washington, DC 20231

Sir:

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

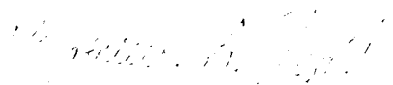
In response to the "Notice to File Missing Parts of  
Application--Filing Date Granted" dated May 31, 2001, a response  
to which is due July 31, 2001, enclosed herewith for filing is:

- 1) The original Combined Declaration and Power of  
Attorney, executed by the inventor(s). An unexecuted  
copy of this document, attached to the above-identified  
specification, was filed by Express Mail No.  
EL846058661US on April 2, 2001.
- 2) Statement to Support Filing and Submission in  
Accordance with 37 CFR §§ 1.821 through 1.825;
- 3) Substitute pages of the Sequence Listing;

- 4) Substitute copy of the computer readable form of the Sequence Listing;
- 5) Copy of Notice to File Missing Parts of Application;
- 6) Petition for a one (1) month extension of time and the requisite fee;
- ( ) An Associate Power of Attorney is also enclosed.
- (XX) Small entity status of this application has been established on January 31, 2001.
- ( ) A Verified Statement Claiming Small Entity Status Under 37 CFR 1.9 and 1.27 has been forwarded to the Refund Section, Accounting Division, Office of Finance on \_\_\_\_\_. A **copy** of this Verified Statement Claiming Small Entity Status form and the Request for Refund form is enclosed for your convenience.
- ( ) A credit card payment form in the amount of \$\_\_\_\_\_ is attached to cover the surcharge. Please charge any deficiency or credit any overpayment to Deposit Account No. 50-1619.
- (XX) Please charge deposit account 50-1619 for the \$65.00 surcharge for filing the original Declaration, and \$110.00 for the one-month extension of time fee, totaling \$175.00;
- ( ) No additional fee is required.
- (XX) The Commissioner is hereby authorized to charge any underpayment associated with this communication or credit any overpayment to Deposit Account No. 50-1619.

This sheet is attached in duplicate.

Respectfully submitted,

  
**Kathleen A. Tyrrell**  
Registration No. 38,350

Date: **August 20, 2001**

Licata & Tyrrell P.C.  
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Marlton, New Jersey 08053  
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